



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

INVENTORS: Kitsukawa et al.  
SERIAL NO.: 09/839,482  
FILED: April 21, 2001  
SUBJECT: System and Method for Interactive Television  
DOCKET NO.: 50P4368

2100  
2611

FILE

RECEIVED

FEB 21 2002

Technology Center 2600

ASSISTANT COMMISSIONER FOR PATENTS  
ATTN: OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE CENTER  
WASHINGTON, D.C. 20231

**TRANSMITTAL LETTER - CORRECTION OF ERROR IN FILING RECEIPT**

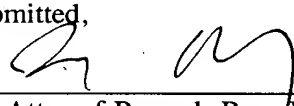
Dear Sir:

The Filing Receipt for the above-captioned patent application does not list the Domestic Priority data as claimed by the applicant in the originally filed Declaration. The Domestic Priority data should indicate that this case claims priority from U.S. Provisional Application No. 60/228,469, filed on August 28, 2000. Please send us a corrected Filing Receipt listing the priority claim.

Enclosed herewith are the following:

1. Copy of Filing Receipt;
2. Copy of originally filed Declaration;
3. Acknowledgment postcard.

Respectfully submitted,

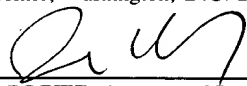
  
John L. Rogitz, Atty. of Record, Reg. No. 33,549  
750 "B" Street, Suite 3120, San Diego, CA 92101  
Telephone: (619) 338-8075

Encs.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage fully prepaid, under 37 CFR 1.10, addressed to Assistant Commission for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on 10/24, 2001.

Date Signed: 10/24, 2001

  
JOHN L. ROGITZ, Attorney of Record  
Registration No. 33,549





## UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
FEB 21 2002  
Technology Center 26001168-006  
Page 1 of 3COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,482	04/21/2001	2643	1280	50P4368	2	40	4

CONFIRMATION NO. 2610

## UPDATED FILING RECEIPT



\*OC000000006580274\*

John L. Rogitz  
Rogitz & Associates  
750 B Street, Suite 3120  
San Diego, CA 92101

Date Mailed: 09/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tadamasa Kitsukawa, San Diego, CA;  
Tsutomu Akiyama, San Diego, CA;  
Shigeharu Kondo, San Diego, CA;

## Assignment For Published Patent Application

SONY CORPORATION, Tokyo, JAPAN;  
SONY ELECTRONICS INC., Park Ridge, NJ;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: 10/24/2002

Non-Publication Request: No

Early Publication Request: No

## Title

System and method for interactive television



**Preliminary Class**  
348

---

**Data entry by : KUANG, SHARON**

**Team : OIPE**

**Date: 09/21/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





Page 1 of 4

Docket No. 50P4368

RECEIVED  
FEB 21 2002  
Technology Center 2600

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SYSTEM AND METHOD FOR INTERACTIVE TELEVISION

the specification of which is attached hereto unless the following box is checked:

was filed on \_\_\_\_\_  
as United States Application Number or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Foreign Application(s) if any, for Patent or Inventor's Certificate Filed Within 12 Months Prior to the Filing Date of This Application:**

<u>Country</u>	<u>Application No.</u>	<u>Date of Filing</u> (day, month, year)	<u>Priority Claimed</u> <u>Under 35 U.S.C. §119</u>
_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO

**All Foreign Application(s), if any, for Patent or Inventor's Certificate Filed More Than 12 Months Prior to the Filing Date of This Application:**

<u>Country</u>	<u>Application No.</u>	<u>Date of Filing</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____



~~~~~

**All Foreign Application(s), if any, for Patent or Inventor's Certificate Filed More Than 12 Months Prior to the Filing Date of This Application:**

| <u>Country</u> | <u>Application No.</u> | <u>Date of Filing<br/>(day, month, year)</u> | <u>Priority Claimed<br/>Under 35 U.S.C. §119</u>         |
|----------------|------------------------|----------------------------------------------|----------------------------------------------------------|
| _____          | _____                  | _____                                        | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| _____          | _____                  | _____                                        | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| _____          | _____                  | _____                                        | <input type="checkbox"/> YES <input type="checkbox"/> NO |

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

| <u>Number</u> | <u>Country</u> | <u>Day/Month/Year Filed</u> | <u>Priority Claimed</u>                                  |
|---------------|----------------|-----------------------------|----------------------------------------------------------|
| _____         | _____          | _____                       | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| _____         | _____          | _____                       | <input type="checkbox"/> YES <input type="checkbox"/> NO |

I hereby claim the benefit under Title 35, United States Code, §119 of any provisional application(s) listed below.

| <u>U.S. Provisional Application Number</u> | <u>Filing Date</u> |                                                                     |
|--------------------------------------------|--------------------|---------------------------------------------------------------------|
| <u>60/228,469</u>                          | <u>08/28/2000</u>  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| _____                                      | _____              | <input type="checkbox"/> YES <input type="checkbox"/> NO            |

~~~~~

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>Application Serial No.</u>	<u>Date of Filing</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____



I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Peter C. Toto, Reg. No. 36,293	Christopher M. Tobin	US 40,290
Jaime A. Siegel, Reg. No. 39,027	Richard Butt	US 40,932
Harold T. Fujii, Reg. No. 38,458	Hans R. Mahr	US 46,138
Gary Goates, Reg. No. 35,159	Thomas H. Ham	US 43,654
Frederick J. Zustak, U.S. Reg. No. 36,728	John L. Rogitz	US 33,549

Send Correspondence and direct telephone calls to:

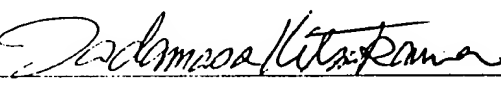
John L. Rogitz  
(619) 338-8075

Address all correspondence to:

John L. Rogitz  
Rogitz & Associates  
750 B Street, Suite 3120  
San Diego, California 92101

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: TADAMASA KITSUKAWA

Inventor's signature: 

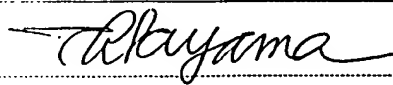
Date: Feb/15/01

Residence: 14284 Brizziway Place, San Diego, California 92128

Citizenship: Japan

Post Office Address: Same

Full name of second inventor: TSUTOMU AKIYAMA

Inventor's signature: 

Date: 2/16/01

Residence: 17854 Via Alta Mirasol, San Diego, California 92128

Citizenship: Japan

Post Office Address: Same



[illegible]

Full name of third inventor: SHIGEHARU KONDO

**Inventor's signature:**

Date:

**Residence:** 14924 Avenida Vensuto #117, San Diego, California 92128

**Citizenship:** Japan

**Post Office Address: Same**

[illegible]